

**Choroidal and retinal thinning in chronic kidney disease independently associate with eGFR
decline and are modifiable with treatment**

Tariq E Farrah^{1,2}

Dan Pugh^{1,2}

Fiona A Chapman^{1,2}

Emily Godden^{1,2}

Craig Balmforth¹

Gabriel Oniscu^{3,4}

David J Webb¹

Baljean Dhillon^{5,6}

Matthew A Bailey¹

James W Dear¹

Peter J Gallacher¹

Neeraj Dhaun^{1,2}

¹University/BHF Centre for Cardiovascular Science, The Queen's Medical Research Institute, University of Edinburgh, Edinburgh, UK.

²Department of Renal Medicine, Royal Infirmary of Edinburgh, Edinburgh, UK

³Edinburgh Transplant Centre, Royal Infirmary of Edinburgh, Edinburgh, UK

⁴Transplant Division, Karolinska Institutet Stockholm, Sweden

⁵Centre for Clinical Brain Sciences, University of Edinburgh, Edinburgh, UK

⁶Princess Alexandra Eye Pavilion, Edinburgh, UK

Correspondence to: Dr Neeraj Dhaun (*Bean*)
University/BHF Centre for Cardiovascular Science
Queen's Medical Research Institute
47 Little France Crescent, Edinburgh.
E-mail: bean.dhaun@ed.ac.uk

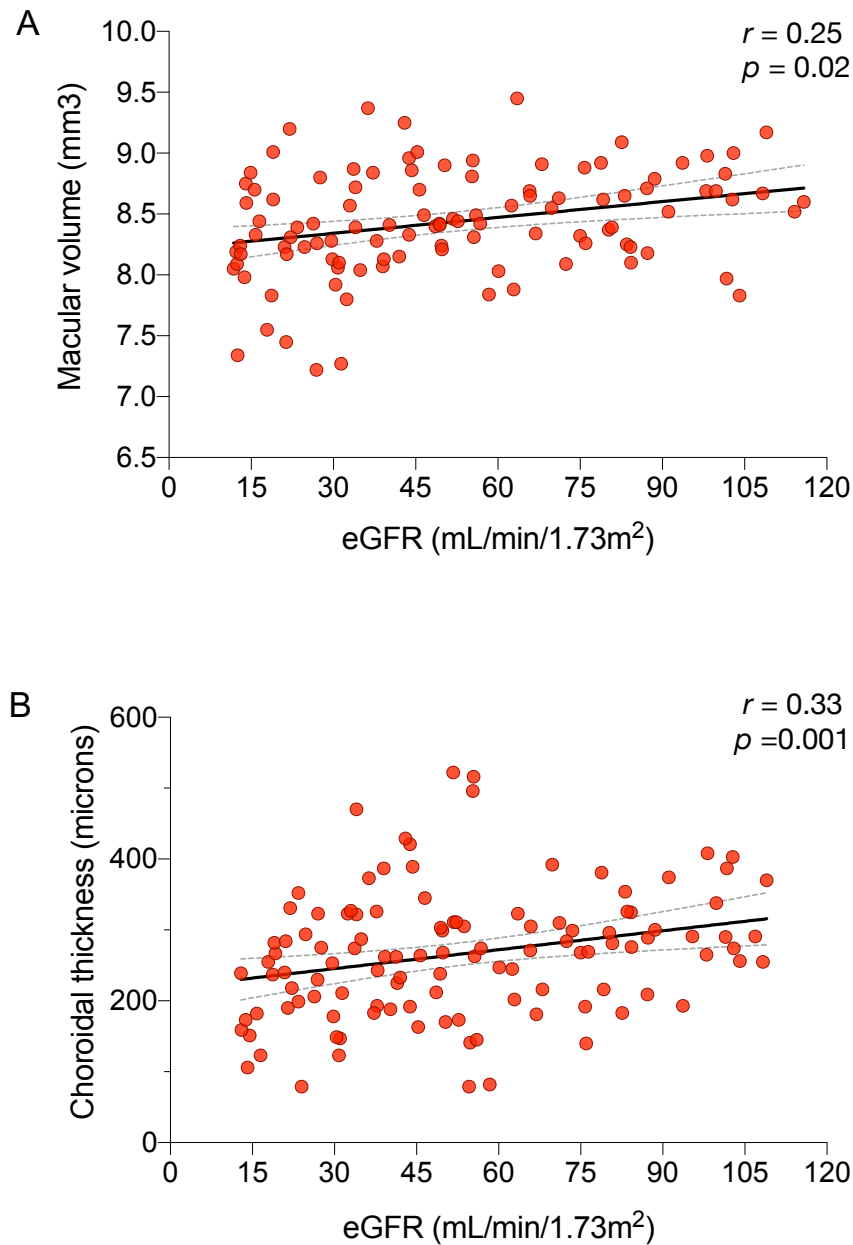
Short title: OCT & the kidney

Contents

<i>1</i>	<i>Supplementary figure legends</i>	<i>Page 3</i>
<i>2</i>	<i>Supplementary tables</i>	<i>Page 7</i>

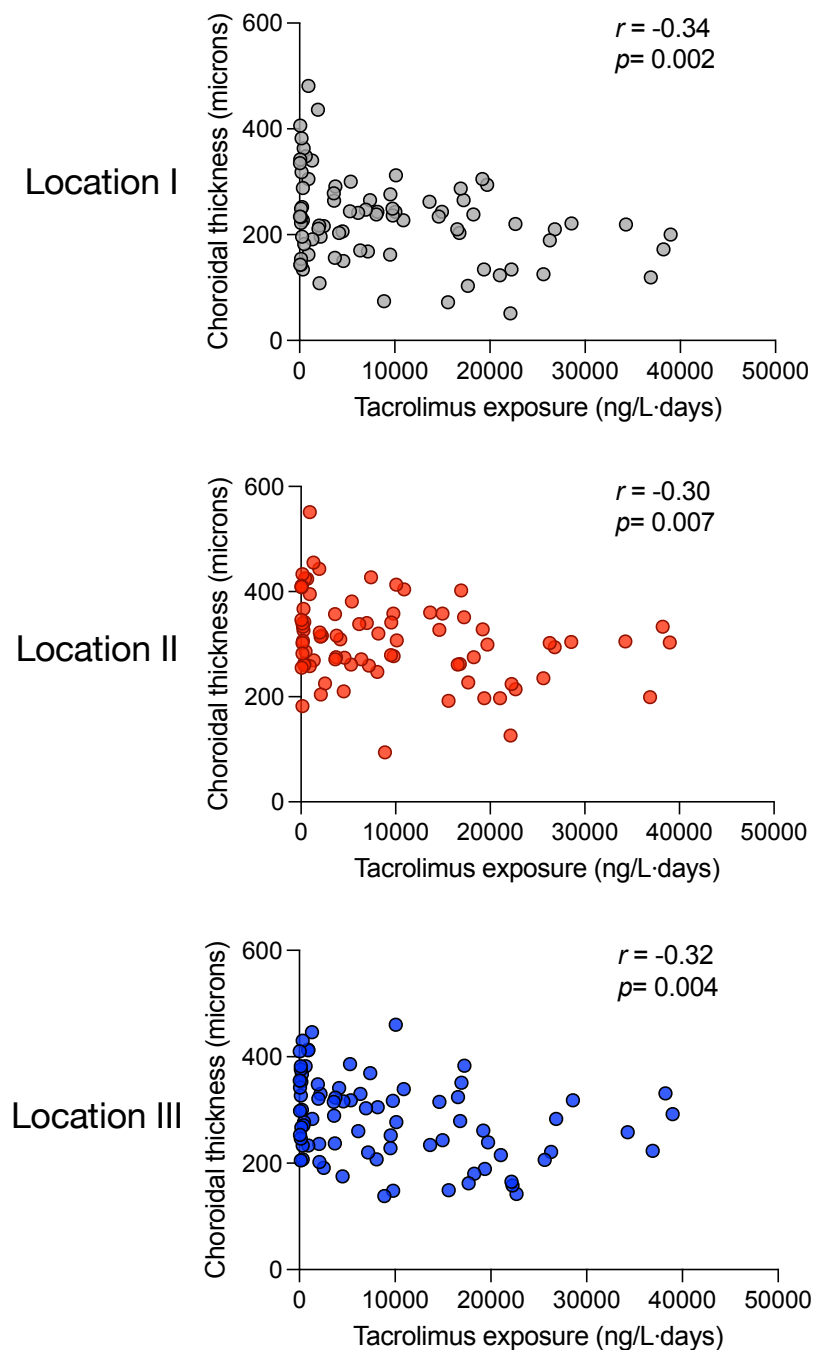
Supplementary figures

Supplementary figure 1.



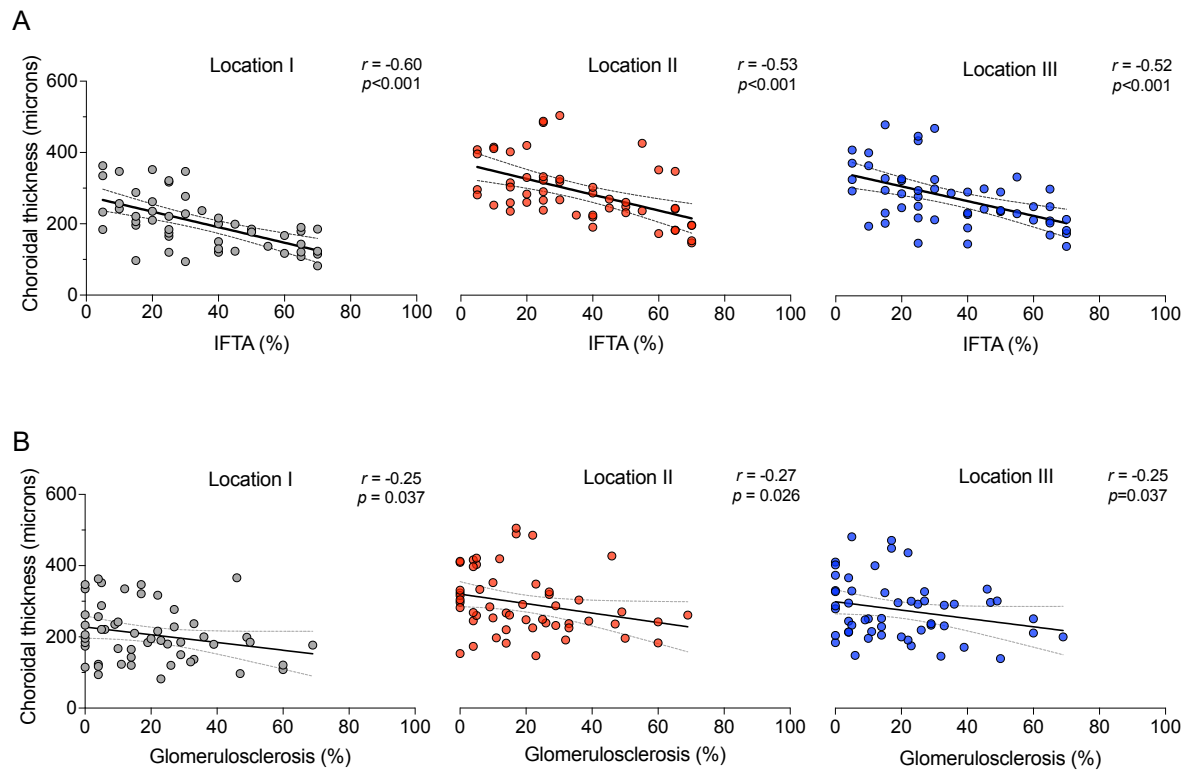
Supplementary figure 1. *OCT metrics and severity of kidney disease.* Scatter dot plots of estimated glomerular filtration rate (eGFR) and macular volume (**A**) and subfoveal choroidal thickness (**B**), n=112). Analysis by two-sided Pearson correlation coefficients.

Supplementary figure 2.



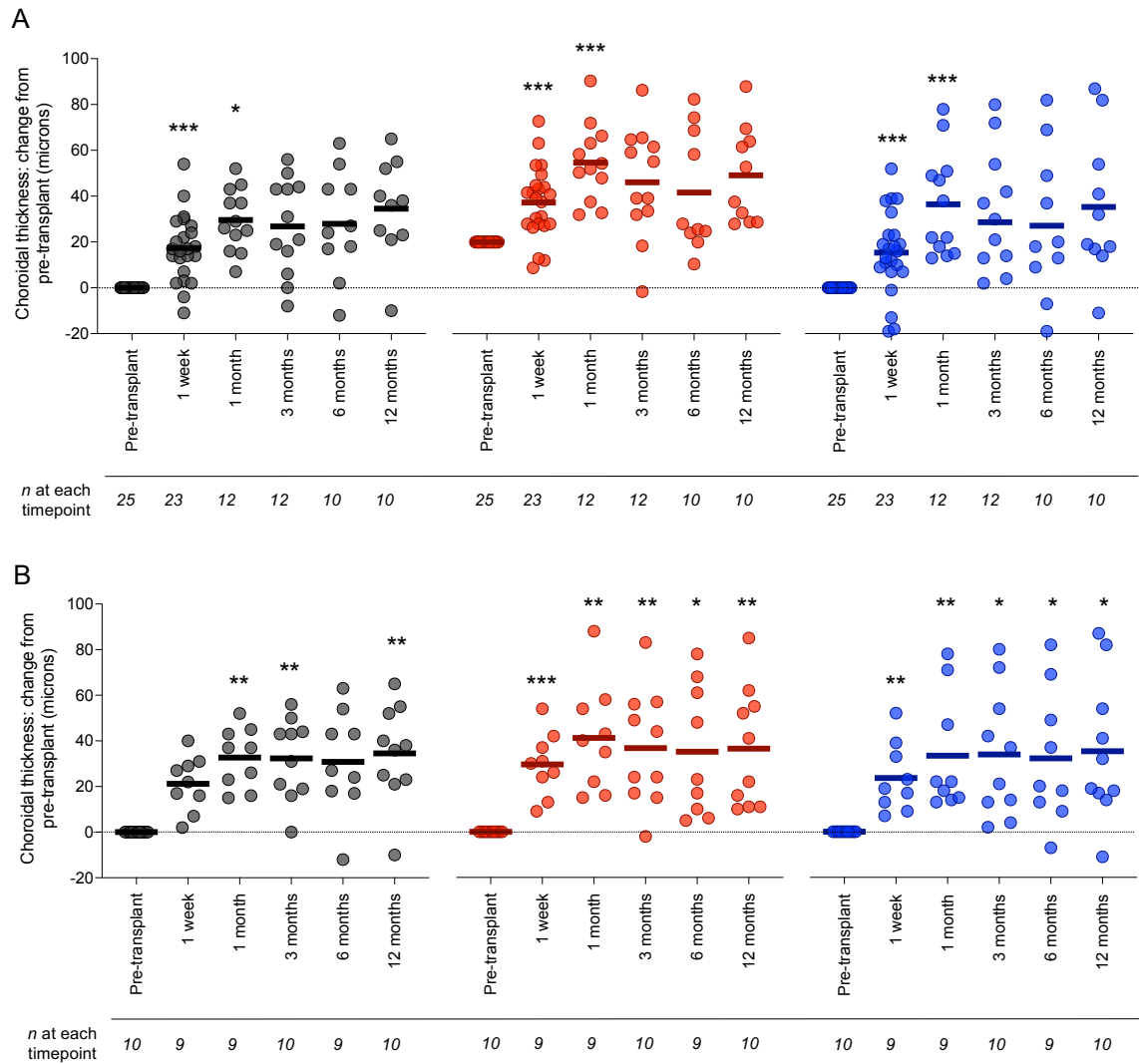
Supplementary figure 2. *Choroidal thickness and tacrolimus exposure.* Scatter dot plots of tacrolimus burden and choroidal thickness at location I (upper panel) and location II (middle panel) and location III (lower panel), $n=91$. Analysis by two-sided Spearman correlation coefficients.

Supplementary figure 3.



Supplementary figure 3. *Choroidal thickness and kidney histology.* Scatter dot plots of OCT-derived choroidal thickness at location I (left panels), location II (centre panels) and location III (right panels) in relation to extent of interstitial fibrosis and tubular atrophy (IFTA, **A**) and glomerulosclerosis (**B**) from paired native kidney biopsies, n=46. Analysis by two-sided Pearson correlation coefficients.

Supplementary figure 4.

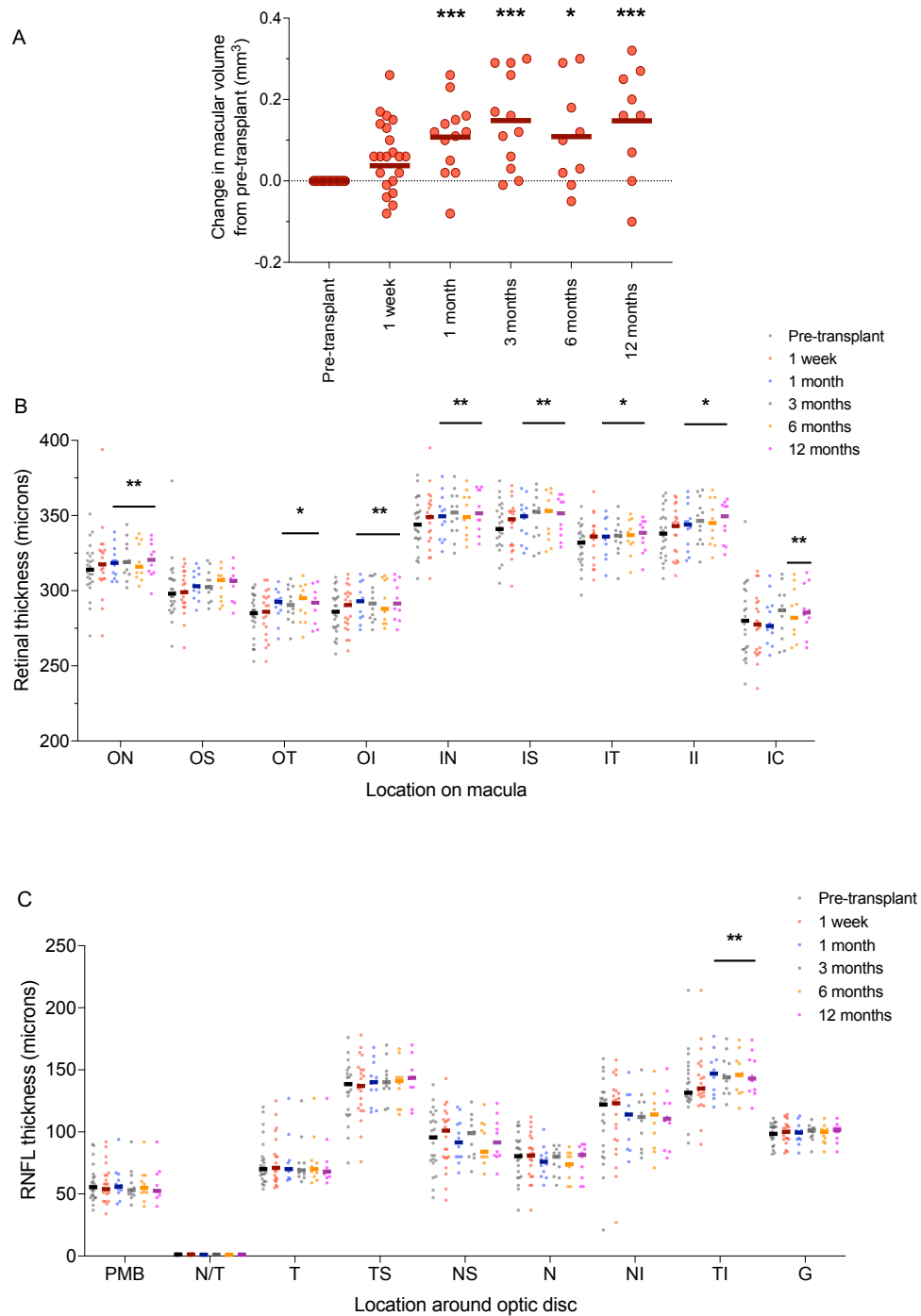


Supplementary figure 4. *Change in choroidal thickness following kidney transplantation.*

Dot plot of change in pre-transplant choroidal thickness at 1 week, 1, 3, 6 and 12 months of participants with at least 1 follow up scan after living donor kidney transplantation (**A**) and restricted to participants with follow up scan at 12 months (**B**). Lines represent mean. Grey – choroidal thickness 2mm nasal to fovea; red – subfoveal choroidal thickness; blue – choroidal thickness 2mm temporal to fovea. **A.** Location I vs. pre transplant $***p<0.001$ at 1 week, $*p=0.030$; Location II vs. pre transplant $***p<0.001$ at 1 week and 1 month; Location III vs. pre transplant $***p<0.001$ at 1 week, $**p=0.003$ at 1 month. **B.** Location I vs. pre transplant $**p=0.004$ at 1 month, $**p=0.001$ at 3 months, $**p=0.003$ at 12 months; Location II vs. pre

transplant *** $p<0.001$ at 1 week, ** $p=0.002$ at 1 month, ** $p=0.007$ at 3 months, * $p=0.013$ at 6 months, ** $p=0.009$ at 12 months; Location III vs. pre transplant, ** $p=0.003$ at 1 week, ** $p=0.009$ at 1 month, * $p=0.019$ at 3 months, * $p=0.022$ at 6 months, *** $p<0.001$ at 1 week, * $p=0.030$ at 12 months. Two-sided analysis by mixed-effects model with Sidak correction for multiple comparisons.

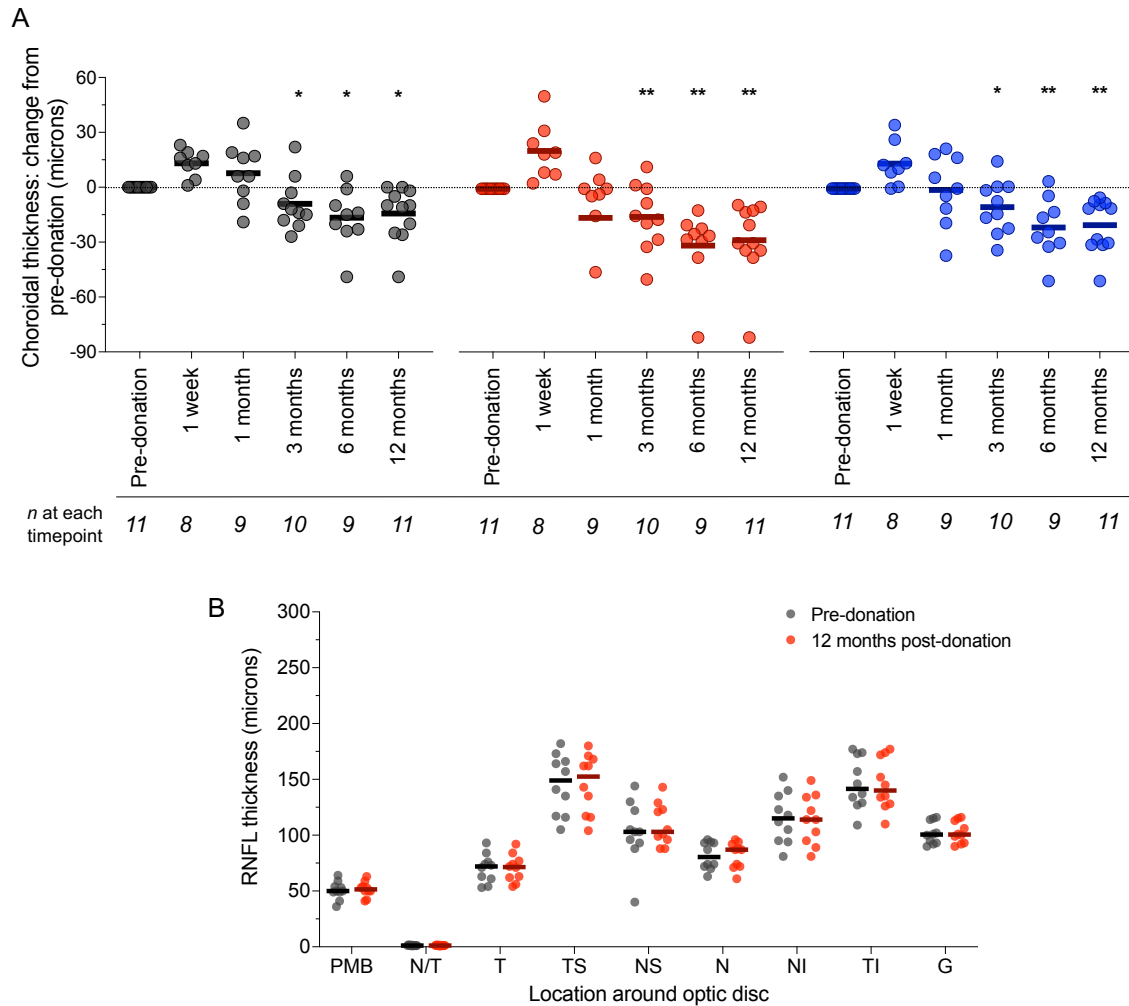
Supplementary figure 5.



Supplementary figure 5. *Change in macular volume, retinal thickness and nerve fibre layer (RNFL) thickness following kidney transplantation.* Dot plot of change in pre-transplant macular volume (**A**), retinal thickness (**B**) and RNFL thickness (**C**) at 1 week, 1, 3, 6 and 12 months after living donor kidney transplantation. ON, outer nasal; OS, outer superior; OT, outer

temporal; OI, outer inferior; IN, inner nasal; IS, inner superior; IT, inner temporal; II, inner inferior; IC, inner circle. T, temporal; TS, temporal-superior; NS, nasal-superior; N, nasal; NI, nasal-inferior; TI, temporal-inferior; PMB, papillo-macular bundle; N/T, nasal-temporal ratio; G, average RNFL thickness. Lines represent mean. A. Macular volume vs. pre transplant *** $p<0.001$ at 1 month, 3 months. 12 months, * $p=0.016$ at 6 months. B. * $p<0.05$, ** $p<0.01$, *** $p<0.001$ vs. pre-transplant. Analysis by two-sided mixed-effects model with Sidak correction for multiple comparisons.

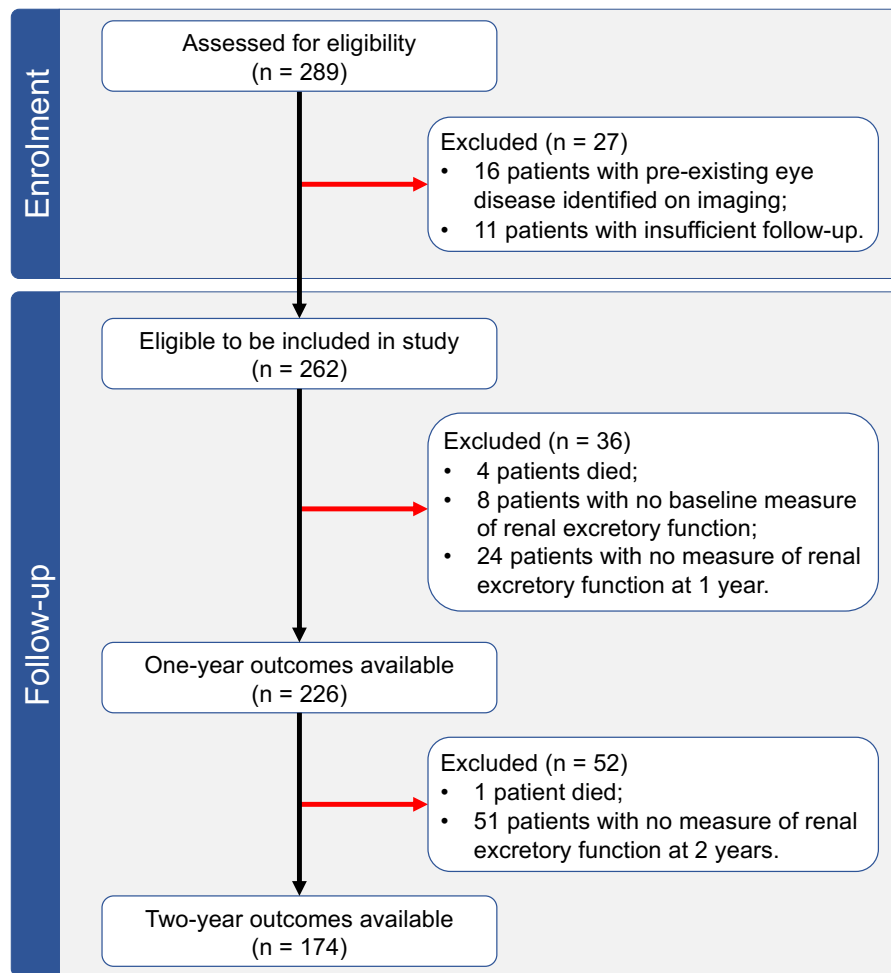
Supplementary figure 6.



Supplementary figure 6. Change in choroidal and nerve fibre layer thickness following donor nephrectomy. Dot plot of change in pre-donation choroidal thickness at 1 week, 1, 3, 6 and 12 months after donor nephrectomy restricted to participants with a 12 month follow up scan (**A**) Grey – choroidal thickness 2mm nasal to fovea; red – subfoveal choroidal thickness; blue – choroidal thickness 2mm temporal to fovea. Dot plot of RNFL thickness at pre-donation and 12 months after nephrectomy (**B**). T, temporal; TS, temporal-superior; NS, nasal-superior; N, nasal; NI, nasal-inferior; TI, temporal-inferior; PMB, papillo-macular bundle; N/T, nasal-temporal ratio; G, average RNFL thickness. Lines represent mean. **A.** Location I vs. pre-donation $*p=0.041$ at 3 months, $*p=0.012$ at 6 months, $*p=0.040$ at 12 months; Location II vs. pre-donation $**p=0.010$ at 3 months, $**p=0.003$ at 6 months, $**p=0.004$ at 12 months;

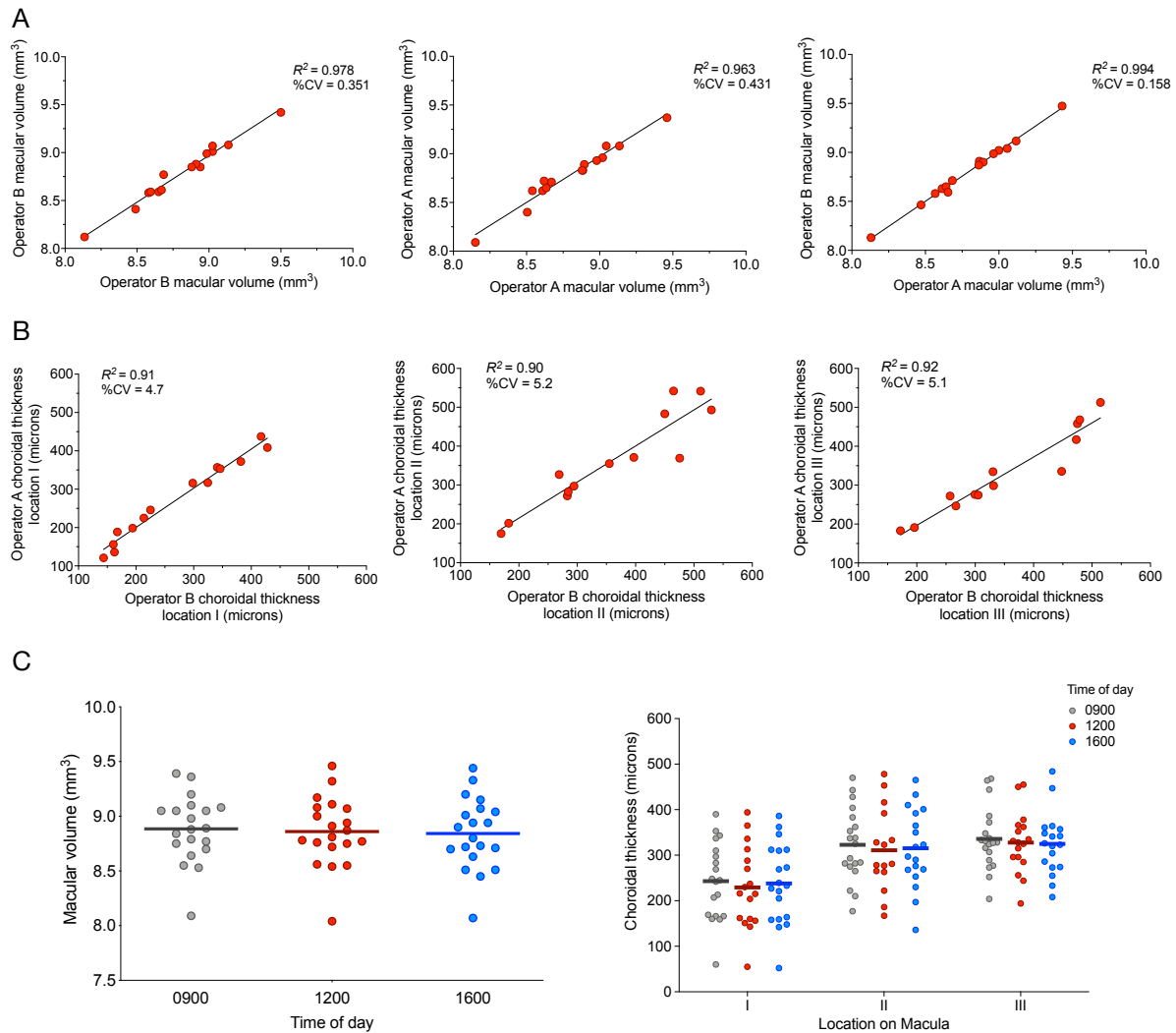
Location III vs. *pre-donation* * $p=0.030$ at 3 months, ** $p=0.005$ at 6 months, ** $p=0.005$ at 12 months. Two-sided analysis by mixed-effects model with Sidak correction for multiple comparisons.

Supplementary figure 7.



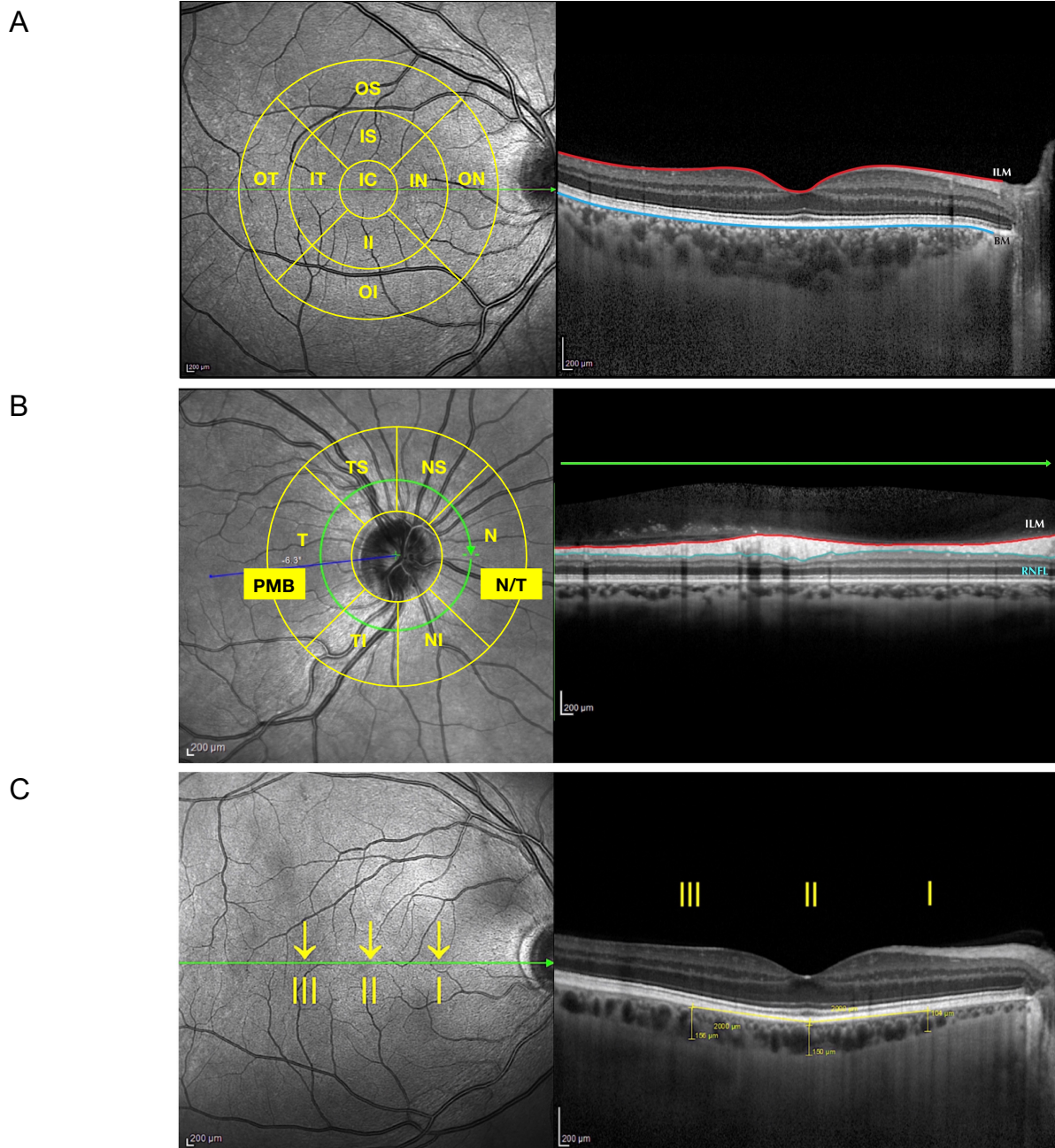
Supplementary figure 7. STROBE diagram for analysis of OCT metrics and CKD progression

Supplementary figure 8.



Supplementary figure 8. *Inter-, intra-operator and time of day variation* Scatter dot plots of intra-operator (left and centre panels) and inter-operator (right panel) variability for macular volume (**A**) in 15 healthy volunteers. Scatter dot plots of inter-operator variability for choroidal thickness (**B**) at location I (left panel), location II (centre panel) and location III (right panel) in 15 healthy volunteers. Scatter dot plots of macular volume (left panel) and choroidal thickness (right panel) from healthy volunteers who underwent OCT scanning at 0900, 1200 and 1600 (**C**). Line represents mean. CV – coefficient of variation, Analyses by linear regression, coefficient of variation and ANOVA.

Supplementary figure 9.



Supplementary figure 9. OCT metrics. Example images captured from the right eye by the Heidelberg SPECTRALIS® Spectral-Domain OCT (SD-OCT, Heidelberg Engineering, Heidelberg, Germany). **A.** *Left panel* shows a CLSO image centred over the right macula. Green line represents level and direction of cross section of corresponding OCT image. *Right panel* is a horizontal line OCT scan with the Early Treatment of Diabetic Retinopathy study map overlay. This divides the macula into 9 subfields. A circular grid is centred over the fovea and

consists of 3 concentric rings of diameters 1, 3, and 6mm, respectively. The inner and outer rings are further divided into quadrants: temporal, nasal, superior, and inferior. Retinal thickness is defined as the area bounded by internal limiting membrane (ILM, red) and Bruch's membrane (BM, blue). ON, outer nasal; OS, outer superior; OT, outer temporal; OI, outer inferior; IN, inner nasal; IS, inner superior; IT, inner temporal; II, inner inferior; IC, inner circle.

B. *Left panel* is a CLSO image centred over the optic nerve head with line of cross-section (green) circled around the peri-papillary region. *Right panel* is an OCT image demonstrating retinal thickness from the circular cross-section around the optic nerve head in the left image. The green line running from left to right corresponds to the direction of cross-section of the green circle in left panel. Retinal nerve fibre layer (RNFL) thickness as defined by the red and cyan lines which is measured for 7 regions: T, temporal; TS, temporal-superior; NS, nasal-superior; N, nasal; NI, nasal-inferior; TI, temporal-inferior. PMB, papillo-macular bundle. Using these values, two additional measures are calculated: N/T, nasal-temporal ratio; G, average RNFL thickness.

C. CSLO (*left panel*) and horizontal line OCT scan with Enhanced Depth Imaging (EDI, *right panel*). Choroidal thickness was defined as the distance between the outer hyper-reflective line of the retinal pigment epithelium (RPE) (RPE/basement membrane complex) to the choroidal-scleral junction and was measured at 3 locations: I = 2 mm nasal to the fovea, II = subfoveal, III = 2 mm temporal to the fovea as shown. The corresponding locations on the macula are indicated by yellow arrows.

Supplementary tables

Supplementary table 1. Linear regression model for predictors of macular volume in CKD patients, n=112. Table shows β coefficients expressed as change in macular volume atrophy per unit **increase** in the associated independent variable. *p* values <0.05 are in bold.

Variable	β (95% CI)	p
Age (per year)	-0.0015 (-0.0073 to 0.0064)	0.593
eGFR (per mL/min/1.73m ²)	0.0032 (0.0001 to 0.0063)	0.042

Model adjusted R²=0.5, F(2,109)=3.021, p=0.054

eGFR: estimated glomerular filtration rate

Supplementary table 2. Linear regression model for predictors of subfoveal (location II) choroidal thickness in CKD patients, n=112. Table shows β coefficients expressed as change in choroidal thickness per unit **increase** in the associated independent variable.

Variable	β (95% CI)	p
Age (per year)	-0.89 (-2.03 to 0.24)	0.120
eGFR (per mL/min/1.73m ²)	0.69 (0.10 to 1.29)	0.021

Model adjusted R²=0.10, F(2,110)=6.341, p=0.003

eGFR: estimated glomerular filtration rate.

Supplementary table 3. *Renal biopsy diagnoses*

Clinico-pathologic diagnosis	n (%)
ANCA-associated vasculitis	38 (76)
IgA nephropathy	5 (10)
Tubulointerstitial nephritis	3 (6)
Hypertensive nephrosclerosis	2 (4)
Focal segmental glomerulosclerosis	1 (2)
Lupus nephritis	1 (2)

ANCA: anti-neutrophil cytoplasm antibody, IgA: immunoglobulin A.

Supplementary table 4. Standard multiple linear regression models examining variables associated with interstitial fibrosis/tubular atrophy from kidney biopsy specimens from CKD patients (n=50). Tables show β coefficients expressed as change in interstitial fibrosis/tubular atrophy per unit **increase** in the associated independent variable, with associations of choroidal thickness at each location on the retina assessed separately. p values <0.05 are in bold.

Variable	β (95% CI)	p
Age (per year increase)	0.28 (-0.11 to 0.67)	0.150
eGFR (per ml/min/1.73m ² increase)	-0.18 (-0.38 to 0.01)	0.063
Choroidal thickness at location I (per μ m increase)	-0.11 (-0.20 to 0.03)	0.009
Glomerulosclerosis (per % increase)	0.28 (-0.02 to 0.58)	0.068
Mean arterial pressure (per 1 mmHg increase)	-0.11 (-0.62 to 0.41)	0.680
Pre-existing hypertension (presence)	0.34 (-10.78 to 11.35)	0.950

Model adjusted $R^2=0.45$, $F(44,6)=5.49.1$, $p<0.001$
eGFR: estimated glomerular filtration rate.

Variable	β (95% CI)	p
Age (per year increase)	0.29 (-0.12 to 0.71)	0.160
eGFR (per ml/min/1.73m ² increase)	-0.17 (-0.38 to 0.03)	0.090
Choroidal thickness at location II (per μ m increase)	-0.08 (-0.15 to 0.01)	0.035
Glomerulosclerosis (per % increase)	0.33 (0.02 to 0.62)	0.034
Mean arterial pressure (per 1 mmHg increase)	-0.07 (-0.62 to 0.49)	0.810
Pre-existing hypertension (presence)	0.12 (-11.16 to 11.41)	0.980

Model adjusted $R^2=0.40$, $F(44,6)=5.49.1$, $p=0.001$
eGFR: estimated glomerular filtration rate.

Variable	β (95% CI)	p
Age (per year increase)	0.27 (-0.15 to 0.69)	0.190
eGFR (per ml/min/1.73m ² increase)	-0.15 (-0.34 to 0.05)	0.130
Choroidal thickness at location III (per μ m increase)	-0.08 (-0.16 to 0.01)	0.029
Glomerulosclerosis (per % increase)	0.34 (-0.05 to 0.64)	0.023
Mean arterial pressure (per 1 mmHg increase)	-0.12 (-0.65 to 0.42)	0.660
Pre-existing hypertension (presence)	0.70 (-10.40 to 11.80)	0.902

Model adjusted $R^2=0.40$, $F(44,6)=5.49.1$, $p=0.001$
eGFR: estimated glomerular filtration rate.

Supplementary table 5. *Baseline characteristics of transplant recipients and kidney donors in study 3*

	Transplant recipients	Kidney donors
Number of participants (n=47)	25	22
Age, years	45 ± 14	50 ± 11
Male, n (%)	16 (62)	8 (36)
Smoking status, n (%)		
Never	21 (81)	21 (95)
Current	0 (0)	0 (0)
Ex-smoker	5 (19)	1 (5)
Primary renal diagnosis		
IgA nephropathy	9 (35)	
Polycystic kidney disease	6 (23)	
SLE	2 (8)	
FSGS	2 (8)	
Nephrolithiasis	1 (4)	
Hypertension	1 (4)	
Amyloid	1 (4)	
Reflux nephropathy	1 (4)	
HUS	1 (4)	
Unknown	2 (8)	
Clinical		
BMI, kg/m ²	26.6 ± 4.2	26.8 ± 3.2
BP, mmHg		
Systolic	135 ± 19	135 ± 15
Diastolic	84 ± 9	86 ± 18
MAP	87 ± 37	102 ± 16
Laboratory		
Creatinine, µmol/L	661 ± 201*	69 ± 8
eGFR, ml/min/1.73m ²	8 ± 3*	96 ± 9
GFR stage, ml/min/1.73m ² n (%)		
≥90	0	17 (77)
60-89	0	5 (23)
30-59	0	0
15-29	0	0
<15	26 (100)	0
Haemoglobin, g/L	114 ± 16	138 ± 9
hsCRP, mg/L	2 ± 3	1 ± 2
uPCR, mg/mmol	380 ± 393	3 ± 5
Medications, n (%)		
Aspirin	0	0 (0)
α-blocker	2 (8)	1 (5)
ACE inhibitor	7 (27)	1 (5)
Angiotensin receptor blocker	1 (4)	0 (0)
β-blocker	4 (15)	0 (0)
Calcium channel blocker	9 (35)	0 (0)
Diuretic	2 (8)	0 (0)
Statin	9 (35)	1 (5)

ACE: angiotensin converting enzyme, BMI: body mass index, BP: blood pressure, eGFR: estimated glomerular filtration rate, FSGS: focal and segmental glomerulosclerosis, HUS: haemolytic uraemic syndrome, hsCRP: high sensitivity C-reactive protein, MAP: mean arterial pressure, uPCR: urine protein:creatinine ratio, SLE: systemic lupus erythematosus. *Parameters influenced by dialysis.

Supplementary table 6. *Baseline characteristics for study 4 cohort*

Number of participants	262
Age, years (SD)	57 (14)
Female, n (%)	108 (41)
Baseline BP, mmHg (SD)*	
Systolic	136 (19)
Diastolic	78 (12)
Baseline serum creatinine, $\mu\text{mol/L}$ (IQR)*	141 (103 – 227)
Baseline eGFR, ml/min/1.73m^2 (IQR)*	42 (25 – 63)
CKD stage, n (%)	
1	14 (5)
2	57 (22)
3	106 (40)
4	52 (20)
5	25 (10)
Baseline haemoglobin, g/L*	125 (19)
Baseline uPCR, mg/mmol (IQR)*	51 (17 – 193)

Values are n (%), mean (standard deviation [SD]) or median (interquartile range [IQR]). Abbreviations: BP – blood pressure; eGFR – estimated glomerular filtration rate; uPCR – urinary protein:creatinine ratio.

*Baseline BP data were available for 88% (231/262) of patients; baseline renal function data were available for 97% (254/262) of patients; baseline haemoglobin data were available for 77% (201/262) of patients; and baseline uPCR data were available for 88% (231/262) of patients.

Supplementary table 7. Summary of univariable logistic regression models evaluating relationship between each chorioretinal metric (total macular volume and choroidal thickness [locations one, two and three]) and the primary outcomes of a decline in eGFR of ≥ 10 at one year and $\geq 20\%$ at two years. Two sided analyses. Abbreviations: CI – confidence interval; df – degrees of freedom; eGFR – estimated glomerular filtration rate; OR – odds ratio. *p* values < 0.05 are in bold.

Decline in eGFR of $\geq 10\%$ at one year							
	Standard error	z-value	OR	Lower 95% CI	Upper 95% CI	p	Residual deviance
Total macular volume (per 1 mm ³ decrease)	0.35	-2.57	2.48	1.26	5.08	0.013	298 on 220 df
Choroid (location I) (per 10 μ m decrease)	0.002	-1.01	1.02	0.98	1.05	0.319	306 on 221 df
Choroid (location II) (per 10 μ m decrease)	0.002	-1.76	1.03	1.00	1.06	0.081	304 on 221 df
Choroid (location III) (per 10 μ m decrease)	0.002	-2.38	1.04	1.01	1.08	0.024	301 on 221 df
Decline in eGFR of $\geq 20\%$ at two years							
	Standard error	z-value	OR	Lower 95% CI	Upper 95% CI	p	Residual deviance
Total macular volume (per 1 mm ³ decrease)	0.45	-2.91	3.75	1.59	9.54	0.004	211 on 170 df
Choroid (location I) (per 10 μ m decrease)	0.002	-1.04	1.02	0.98	1.06	0.304	221 on 169 df
Choroid (location II) (per 10 μ m decrease)	0.002	-1.29	1.02	0.99	1.06	0.209	220 on 169
Choroid (location III) (per 10 μ m decrease)	0.002	-2.56	1.06	1.01	1.10	0.012	215 on 169

Supplementary table 8. Comparison of multivariable logistic regression models evaluating the relationship between total macular volume and the odds of an eGFR decline of $\geq 10\%$ at one year. The table illustrates the effect of sequentially adding covariates to each logistic regression model. Based on its AIC and the likelihood ratio test statistic obtained when it was compared individually to the other five models, the model selected for the final analysis was Model 6. Please note: the odds ratios and 95% confidence intervals depicted for total macular volume refer to the effect of a 1 mm³ increase in total macular volume, not a 1 mm³ decrease – as is depicted elsewhere in the manuscript. Two sided analyses. Abbreviations: AIC – Akaike Information Criterion; BP – blood pressure; CI – confidence interval; eGFR – estimated glomerular filtration rate; PCR – protein:creatinine ratio. *p* values <0.05 are in bold.

Predictors	Model 1			Model 2			Model 3			Model 4			Model 5			Model 6									
	Odds Ratios	std. Error	CI	p	Odds Ratios	std. Error	CI	p	Odds Ratios	std. Error	CI	p	Odds Ratios	std. Error	CI	p	Odds Ratios	std. Error	CI	p					
Total macular volume (per 1mm3 increase)	0.40	0.14	0.20 – 0.80	0.010	0.33	0.13	0.15 – 0.68	0.003	0.33	0.13	0.15 – 0.68	0.003	0.40	0.16	0.18 – 0.84	0.019	0.39	0.16	0.17 – 0.85	0.020	0.41	0.18	0.18 – 0.93	0.038	
Age (per 1 year increase)				0.98	0.01	0.96 – 1.00		0.060	0.98	0.01	0.96 – 1.00	0.019	0.97	0.01	0.95 – 1.00	0.019	0.98	0.01	0.95 – 1.00	0.035	0.98	0.01	0.96 – 1.00	0.089	
Female sex									0.95	0.27	0.55 – 1.65		0.95	0.28	0.54 – 1.69	0.873	1.09	0.33	0.61 – 1.97		0.95	0.30	0.51 – 1.77	0.869	
Baseline eGFR (per 1ml/min increase)										0.98	0.01	0.96 – 0.99		0.98	0.01	0.96 – 0.99	<0.001	0.98	0.01	0.96 – 0.99	<0.001	0.98	0.01	0.96 – 0.99	<0.001
Baseline systolic BP (per 1mmHg increase)																	0.99	0.01	0.98 – 1.01		0.99	0.01	0.97 – 1.00	0.126	
Baseline urinary PCR (per 1mg/mmol increase)																					1.00	0.00	1.00 – 1.01	0.001	
AIC	302.220			300.609		302.580		288.803		277.188		254.002													
log-Likelihood	-149.110			-147.305		-147.290		-139.401		-132.594		-120.001													

Supplementary table 9. Comparison of multivariable logistic regression models evaluating the relationship between total macular volume and the odds of an eGFR decline of $\geq 20\%$ at two years. The table illustrates the effect of sequentially adding covariates to each logistic regression model. Based on its AIC and the likelihood ratio test statistic obtained when it was compared individually to the other five models, the model selected for the final analysis was Model 6. Please note: the odds ratios and 95% confidence intervals depicted for total macular volume refer to the effect of a 1 mm³ increase in total macular volume, not a 1 mm³ decrease – as is depicted elsewhere in the manuscript. Two sided analyses. Abbreviations: AIC – Akaike Information Criterion; BP – blood pressure; CI – confidence interval; eGFR – estimated glomerular filtration rate; PCR – protein:creatinine ratio.

Predictors	Model 1				Model 2				Model 3				Model 4				Model 5				Model 6				
	Odds Ratios	std. Error	CI	p	Odds Ratios	std. Error	CI	p	Odds Ratios	std. Error	CI	p	Odds Ratios	std. Error	CI	p	Odds Ratios	std. Error	CI	p	Odds Ratios	std. Error	CI	p	
Total macular volume (per 1mm3 increase)	0.27	0.12	0.10 – 0.63	0.004	0.26	0.12	0.10 – 0.63	0.004	0.26	0.12	0.10 – 0.63	0.004	0.27	0.13	0.10 – 0.67	0.006	0.31	0.15	0.12 – 0.76	0.014	0.41	0.20	0.15 – 1.07	0.073	
Age (per 1 year increase)					1.00	0.01	0.97 – 1.02	0.787	1.00	0.01	0.97 – 1.02	0.850	0.99	0.01	0.97 – 1.02	0.677	1.00	0.01	0.97 – 1.02	0.766	1.00	0.02	0.97 – 1.03	0.769	
Female sex									0.70	0.24	0.36 – 1.36	0.302	0.71	0.25	0.36 – 1.40	0.330	0.80	0.28	0.40 – 1.58	0.521	0.74	0.29	0.34 – 1.57	0.442	
Baseline eGFR (per 1 ml/min increase)										0.99	0.01	0.97 – 1.00	0.096	0.99	0.01	0.97 – 1.00	0.111	1.00	0.01	0.97 – 1.00	0.98 – 1.02	0.848			
Baseline systolic BP (per 1 mmHg increase)																	1.00	0.01	0.98 – 1.02	0.750	1.00	0.01	0.98 – 1.02	0.811	
Baseline urinary PCR (per 1 mg/mmol increase)																					1.01	0.00	1.00 – 1.01	<0.001	
AIC	214.534				216.461				217.381																188.458
log-Likelihood	-105.267				-105.230				-104.691																-87.229