

Supplementary information for

Engineering topological phases in triple HgTe/CdTe quantum wells

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8 × 8 Kane model and material parameters

We use the Kane Hamiltonian¹⁻⁴ to obtain the subbands of the QWs described in this work. This Hamiltonian it is written in the basis $|\Gamma_6, \frac{1}{2}, \pm \frac{1}{2}\rangle$, $|\Gamma_8, \frac{3}{2}, \pm \frac{1}{2}\rangle$, $|\Gamma_8, \frac{3}{2}, \pm \frac{3}{2}\rangle$ and $|\Gamma_7, \frac{1}{2}, \pm \frac{1}{2}\rangle$ and reads as

$$\mathcal{H}^{8 \times 8} = \begin{pmatrix} T & 0 & -\frac{1}{\sqrt{2}}Pk_+ & \sqrt{\frac{2}{3}}P\hat{k}_z & \frac{1}{\sqrt{6}}Pk_- & 0 & -\frac{1}{\sqrt{3}}P\hat{k}_z & -\frac{1}{\sqrt{3}}Pk_- \\ 0 & T & 0 & -\frac{1}{\sqrt{6}}Pk_+ & \sqrt{\frac{2}{3}}P\hat{k}_z & \frac{1}{\sqrt{2}}Pk_- & -\frac{1}{\sqrt{3}}Pk_+ & \frac{1}{\sqrt{3}}P\hat{k}_z \\ -\frac{1}{\sqrt{2}}Pk_- & 0 & U+V & -\bar{S}_- & R & 0 & \frac{1}{\sqrt{2}}\bar{S}_- & -\sqrt{2}R \\ \sqrt{\frac{2}{3}}P\hat{k}_z & -\frac{1}{\sqrt{6}}Pk_- & -\bar{S}_-^\dagger & U-V & C & R & \sqrt{2}V & -\sqrt{\frac{3}{2}}\bar{S}_- \\ \frac{1}{\sqrt{6}}Pk_+ & \sqrt{\frac{2}{3}}P\hat{k}_z & R^\dagger & C^\dagger & U-V & \bar{S}_+^\dagger & -\sqrt{\frac{3}{2}}\bar{S}_+ & -\sqrt{2}V \\ 0 & \frac{1}{\sqrt{2}}Pk_+ & 0 & R^\dagger & \bar{S}_+ & U+V & \sqrt{2}R^\dagger & \frac{1}{\sqrt{2}}\bar{S}_+ \\ -\frac{1}{\sqrt{3}}P\hat{k}_z & -\frac{1}{\sqrt{3}}Pk_- & \frac{1}{\sqrt{2}}\bar{S}_-^\dagger & \sqrt{2}V & -\sqrt{\frac{3}{2}}\bar{S}_+^\dagger & \sqrt{2}R & U-\Delta & C \\ -\frac{1}{\sqrt{3}}Pk_+ & \frac{1}{\sqrt{3}}P\hat{k}_z & -\sqrt{2}R^\dagger & -\sqrt{\frac{3}{2}}\bar{S}_-^\dagger & -\sqrt{2}V & \frac{1}{\sqrt{2}}\bar{S}_+^\dagger & C^\dagger & U-\Delta \end{pmatrix}, \quad (\text{S.1})$$

with

$$T = E_c + \frac{\hbar^2}{2m_0} \left[(2F+1)k_\parallel^2 + \hat{k}_z(2F+1)\hat{k}_z \right] + a_c(2\varepsilon_{xx} + \varepsilon_{zz}), \quad (\text{S.2})$$

$$U = E_v - \frac{\hbar^2}{2m_0} \left(\gamma_1 k_\parallel^2 + \hat{k}_z \gamma_1 \hat{k}_z \right) + a_v(2\varepsilon_{xx} + \varepsilon_{zz}), \quad (\text{S.3})$$

$$V = -\frac{\hbar^2}{2m_0} (\gamma_2 k_\parallel^2 - 2\hat{k}_z \gamma_2 \hat{k}_z) + b(\varepsilon_{xx} - \varepsilon_{zz}), \quad (\text{S.4})$$

$$R = -\frac{\hbar^2}{2m_0} \sqrt{3}(\mu k_+^2 - \bar{\gamma} k_-^2), \quad (\text{S.5})$$

$$\bar{S}_\pm = -\frac{\hbar^2}{2m_0} \sqrt{3}k_\pm \left(\{ \gamma_3, \hat{k}_z \} + [\kappa, \hat{k}_z] \right), \quad (\text{S.6})$$

$$\tilde{S}_\pm = -\frac{\hbar^2}{2m_0} \sqrt{3}k_\pm \left(\{ \gamma_3, \hat{k}_z \} - \frac{1}{3} [\kappa, \hat{k}_z] \right), \quad (\text{S.7})$$

$$C = \frac{\hbar^2}{m_0} k_- [\kappa, \hat{k}_z]. \quad (\text{S.8})$$

Here, all the parameters depend on the coordinate z , which is set along the QW growth direction. E_c and E_v correspond to the energies at $k_x = k_y = 0$ of the $|\Gamma_6\rangle$ and $|\Gamma_8\rangle$ bands, respectively, and Δ quantifies the split-off energy separation, i.e., the energy between $|\Gamma_8\rangle$ and $|\Gamma_7\rangle$ bands. Here, $[A, B] = AB - BA$ denotes the commutator between the A and B operators while $\{A, B\} = AB + BA$ denotes their anti-commutator. P is the Kane parameter, m_0 is the free electron mass, γ_i are the Luttinger parameters⁵, which together with κ and F accounts for the effective mass correction due to the remote bands.

Effective 2D model coefficients

The coefficients for the effective 2D model^{6,7} are calculated from the $\mathbf{k} \cdot \mathbf{p}$ method in the basis of *single QW eigenstates* from the $(k_x, k_y) = 0$ numerical solutions of the 8×8 Kane model, which we express here as

$$\mathcal{H}^{8 \times 8} = H_0 + H_x k_x + H_y k_y + H_{xx} k_x^2 + H_{yy} k_y^2 + H_{xy} k_x k_y, \quad (\text{S.9})$$

such that the indexes μ in each H_μ indicate their corresponding k_x and k_y powers, while their z and $k_z = -i\partial_z$ dependence is implied within each term. This notation is useful to express the matrix elements in the following discussion by explicitly showing the (k_x, k_y) terms, while omitting the z directions that is integrated on each matrix element.

Following the Löwdin partitioning, we calculate the effective model defining a set A of eigenstates from the E1 and H1 solutions for the QW v , which we label as $A = \{|H_{1\pm}^v\rangle, |E_{1\pm}^v\rangle\}$, and a set B of *remote bands* as all remaining eigenstates $|b\rangle$. In practice, the sum over the remote bands $b \in B$ is truncated up to ~ 20 eigenstates above and below the Fermi energy, and its convergence is verified. Additionally, we emphasize that the basis sets A and B are extracted from single QW models of $\mathcal{H}^{8 \times 8}$, while, in the matrix elements below, $\mathcal{H}^{8 \times 8}$ is set as the triple QW. Therefore, the basis states are only approximately eigenstates of H_0 . The resulting model was shown in the main text, and up to second order in Löwdin's perturbation, the matrix elements of the effective Hamiltonian for $a \in A$ are

$$C_v + M_v \approx \langle E_{1\pm}^v | H_0 | E_{1\pm}^v \rangle + \delta C_v + \delta M_v, \quad (\text{S.10})$$

$$C_v - M_v \approx \langle H_{1\pm}^v | H_0 | H_{1\pm}^v \rangle + \delta C_v - \delta M_v, \quad (\text{S.11})$$

$$A_v \approx \langle E_{1\pm}^v | H_x | H_{1\pm}^v \rangle, \quad (\text{S.12})$$

$$D_v + B_v \approx \langle E_{1\pm}^v | H_{xx} | E_{1\pm}^v \rangle + \sum_{b \in B} \frac{\langle E_{1\pm}^v | H_x | b \rangle \langle b | H_x | E_{1\pm}^v \rangle}{\epsilon_{E_{1\pm}^v}^0 - \epsilon_b^0}, \quad (\text{S.13})$$

$$D_v - B_v \approx \langle H_{1\pm}^v | H_{xx} | H_{1\pm}^v \rangle + \sum_{b \in B} \frac{\langle H_{1\pm}^v | H_x | b \rangle \langle b | H_x | H_{1\pm}^v \rangle}{\epsilon_{H_{1\pm}^v}^0 - \epsilon_b^0}. \quad (\text{S.14})$$

$$\delta C_v + \delta M_v \approx \sum_{b \in B} \frac{|\langle E_{1\pm}^v | H_0 | b \rangle|^2}{\epsilon_{E_{1\pm}^v}^0 - \epsilon_b^0} + \dots, \quad (\text{S.15})$$

$$\delta C_v - \delta M_v \approx \sum_{b \in B} \frac{|\langle H_{1\pm}^v | H_0 | b \rangle|^2}{\epsilon_{H_{1\pm}^v}^0 - \epsilon_b^0} + \dots. \quad (\text{S.16})$$

Above, the δC_v and δM_v terms are higher order corrections that arise because the single QW basis states are not exact eigenstates of the triple QW H_0 . In practice, these corrections converge slowly and we consider δC_v and δM_v as free parameters to adjust the band edges. For the results presented in the main text, we have neglected these corrections in Fig. 3, since it does not affect the results qualitatively. In contrast, in Fig. 5(a)-(b), near the phase transition, we have used these parameters to adjust the band edges, since it is critical to recover the correct phase.

Table S.1. Parameters used in the 8×8 Kane Hamiltonian for HgTe/Hg_{1-x}Cd_xTe heterostructures. For a concentration x the alloy gap is $E_g(x) = (1-x)E_g^{\text{HgTe}} + xE_g^{\text{CdTe}} - 0.132x(1-x)$, while all other parameters follow a linear interpolation with x . Additionally, we have $P^2 = \hbar^2 E_p / 2m_0$, $E_c = E_g + E_v$, $\mu = (\gamma_3 - \gamma_2)/2$, $\bar{\gamma} = (\gamma_3 + \gamma_2)/2$, $\epsilon_{xx} = [a^{\text{CdTe}} - a(x)]/a(x)$, and $\epsilon_{zz} = -2C_{12}\epsilon_{xx}/C_{11}$.

Parameter	HgTe	CdTe	Parameter	HgTe	CdTe
E_p [eV]	18.8	18.8	a [Å]	6.46	6.48
E_g [eV]	-0.303	1.606	a_c [eV]	-2.380	-2.925
E_v [eV]	0	-0.570	a_v [eV]	1.31	0
Δ [eV]	1.08	0.91	b [eV]	-1.5	-1.2
F	0	-0.09	C_{11} [GPa]	53.6	53.6
γ_1	4.1	1.47	C_{12} [GPa]	36.6	37
γ_2	0.5	-0.28			
γ_3	1.3	0.03			
κ	-0.4	-1.31			

For the inter-well couplings $v_\mu(\mathbf{k})$ the coefficients depend upon the eigenstates from neighboring QWs, which we label as v and v' next. For instance, for $\mu = LC$ we consider single QW eigenstates from $v = L$ and $v' = C$. Thus, generically, these coefficients read as

$$\Delta_{E1,\mu} = 2 \langle E_{1\pm}^v | H_0 | E_{1\pm}^{v'} \rangle, \quad (\text{S.17})$$

$$\Delta_{H1,\mu} = 2 \langle H_{1\pm}^v | H_0 | H_{1\pm}^{v'} \rangle, \quad (\text{S.18})$$

$$\alpha_\mu = 2 \langle E_{1\pm}^v | H_x | H_{1\pm}^{v'} \rangle, \quad (\text{S.19})$$

with $\Delta_{E1,\mu} = \Delta_{0,\mu} + \Delta_{z,\mu}$, and $\Delta_{H1,\mu} = \Delta_{0,\mu} - \Delta_{z,\mu}$.

Semi-metallic phase

In the main text, Fig. 1 shows the band structure for $t = 3$ nm and varying $d_0 = \{5, 6, 7, 8.5\}$ nm. Complementary, here, Figure S.1 shows the band structure in the semi-metallic regime for $t = 3$ nm and $d_0 = 12$ nm.

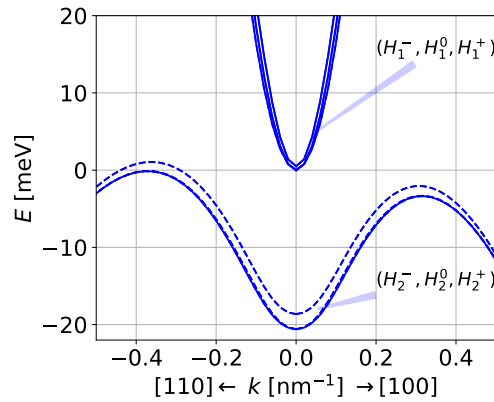


Figure S.1. Band structure calculated with the 8×8 Kane model for barrier width $t = 3$ nm and large quantum well width $d_0 = 12$ nm. As can be seen from Fig. 2(a) from the main text, for large d_0 the E subbands cross the second set of H subbands and the figure shows only the H subbands near the Fermi level $E_F = 0$ meV. In this case the indirect gap is closed and the system is in the semi-metallic regime.

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